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Attorney Docket No. UM-13248

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Wang et al.

Serial No.: 10/594,200 Group No.: Filed: 9/22/2006 Examiner:

Filed: 9/22/2006 Examiner:
Entitled: Small Molecule Antagonists of XIAP Family Proteins

# INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## CERTIFICATE OF MAILING UNDER 37 CFR § 1.8(a)(1)(i)(A)

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with tho U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Ambadmert, Commissioner for Patents, P.O. Box 1450, Alexandria V. A2313-1450.

Date: May 17, 2007

Mary Ellen Waita

Sir or Madam:

Enclosed please find an Information Disclosure Statement and Form PTO-1449, including references, in the above-identified ambication, for filing in the U.S. Parent Office.

This Information Discloyere Statement and PTO Form-1449 is being filed before the issuance of a first Office Action, therefore Applicants believe no fee is required.

The Commission is hereby authorized to charge any additional fee or chedit overpayment to our Deposit Account No. 98-1290. An originally executed duplicate of this transmittal it enclosed for this purpose.

Dated:

May 17, 2007

Robert A. Coetz
Registration No. 55,210

MEDLEN & CARROLL, LLP 101 Howard Street, Suite 305 San Francisco, California 94105 608/218-6900 MAY 2 1 2007 8

PATENT Attorney Docket No. UM-13248

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In re Application of: Wang et al.

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## INFORMATION DISCLOSURE STATEMENT

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## CERTIFICATE OF MAILING UNDER 37 CFR § 7.8(a)(1)(i)(A)

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Date: May 17, 2007

By: Marie Wale

## Sir or Madam:

The citations listed below may be material to the examination of the above-identified application, and are therefore submitted in compliance with the day of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

- Database CAPLUS Acc. No 1963:59491, CA 58:59491, Cain BF Potential antitumor agonts II. polyporic acid series', see abstract.
- Database CAPLUS Acc. No 1965:36588, Doc. No. 62:36588, Cain BF "Poential antitumor agents. III. polyporic acid', see abstract.

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This application was filed after June 30, 2003. Therefore, pursuant to the waiver of the requirements under 37 CFR 1.98(a)(2)(i), copies of each U.S. Patent and each U.S. Patent Application Publication are not required to be submitted. Copies of any foreign patent documents and non-patent literature cited herein are enclosed.

Applicants' herewith submit the International Search Report for the corresponding PCT Application No. PCT/U\$2005/09378.

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art.

Dated: 5-17-2007

Robert A. Gorz/
Registration No. 55,210
MEDLEN & CARROLL, LLP
101 Howard Street, Suite 350
San Francisco, California 94105

608/218-6900

PTO/SB/08B (08-03)

OIPA Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE uns are required to respond to a collection of information unless it contains a valid OMB control number. Under the Paperwork Reduction Act of 1995 Complete if Known Substitute for form 1449/PTO Application Number 10/594,200 INFORMATION DISCLOSURE Filing Date 9/22/2006 STATEMENT BY APPLICANT First Named Inventor Wang et al. Art Unit not assigned (Use as many sheets as necessary) Examiner Name not assigned Sheet 1 Attorney Docket Number UM 13248 of

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*			T <sup>2</sup>
	1	Database CAPLUS Acc. No 1963:59491, CA 58:59491, Cain BF Potential antitumor agents II. polyporic acid series', see abstract.	
	2	Database CAPLUS Acc. No 1965:36588, Doc. No. 62:36588, Cain BF "Potential antitumor agents. III. polyporic acid', see abstract.	

Examiner Signature	/Taofiq Solola/	Date Considered	07/08/2010

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Applicant's unique citation set upon many many commissions of applicants.

Applicant's unique citation designation number (optional). Applicant is unique to be place a check mark here it English lenguage Trenslation is attached.

This collection or information is required by 37 CFR 1.88. The information is required to obtain or retain a benefit by the public which is to fire (end by the USPTO to process) an application. Confidentiality is governed by 53 US C. 12 and 57 CFR 1.14. This collection is estimated to that 2 hours to complete, including gathering, preparing, end submitting the completed epplication form to the USPTO. Time will vary depending upon the individuel case. Any comments on the generally, preparing, and socializing the complete application for the complete of pipication to the Chief in the start of the complete of pipication and amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademerk Office, P.O. Box 1450. Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.